

**1. Science aim/goal:**

Determine the range and typical value of the ice/rock ratio in protoplanetary and debris disks across the stellar mass range and at all evolutionary stages.

**2. (i) Scientific Importance:**

Water is a key ingredient for habitable planets. In protoplanetary disks, ice initially begins as pristine before it is incorporated into ‘wet’ planetesimals to form comets and the cores of Jovian planets. Terrestrial planets may form wet or receive water from comets or asteroids during the debris disk stage (Fig. 1A).

*Is our solar system a typical outcome of these processes?* Directly measuring the abundance of ice in protoplanetary and debris disks, via the ice/rock ratio, is essential to answer this. Beyond the thermal desorption snowline, ice abundances may vary due to the effects of dust settling, photo-desorption, and chemical reactions. These processes depend on stellar properties that evolve with time.

Most of the mass that will be incorporated into planetesimals is found in the disk midplane. Only two spectral features of ice can probe this region: a pristine ice feature at  $47\mu\text{m}$  and two processed ice features at  $43$  and  $62\mu\text{m}$  (Fig. 1B). The  $62\mu\text{m}$  ice feature has been detected in a handful of bright, flared disks with *Herschel* PACS (McClure et al. 2012, 2015); however it has a low peak/continuum ratio and went undetected in the majority of disks. The much stronger  $43\mu\text{m}$  feature was detected in disks by *ISO* LWS (Malfait et al. 1998, 1999; Min et al. 2016). Neither *Spitzer* nor *Herschel* covered this feature, which is an excellent proxy for the ice/dust mass ratio. *Measuring the bulk ice mass and degree of thermal processing through these features is critical to quantify the potential for habitability of protoplanets.*

**(ii) Measurements Required:**

To advance this science goal, we must make a census of the  $43\mu\text{m}$  feature in a large sample ( $\sim 1000$  targets) covering a range of stellar masses and degrees of disk evolution, from gas-rich disks to the debris disks that are analogs to our solar system at the time of cometary ice delivery to terrestrial planets. Low spectral resolution ( $R \sim 250$  at  $43\mu\text{m}$ ) is sufficient to separate the features from the continuum; the driver is high continuum sensitivity at distances to  $500\text{pc}$  in order to distinguish ice/rock ratios down to  $0.13$  ( $\sim 1/10^{\text{th}}$  solar). The angular resolution requirement ( $0.5''$ ; Table 1) will allow us to associate variations in the abundance with radial structures common to these systems (proto-Kuiper belts, disk gaps, spiral arms), for a subsample of the  $\sim 50$  largest and closest protoplanetary and debris disks.

**(iii) Uniqueness to  $10\mu\text{m}$  to few mm wavelength facility:**

The  $43$ - $47$  and  $62\mu\text{m}$  features trace ice at temperatures of  $50$ - $150\text{K}$  in direct emission. Typical disks are also optically thin down to their midplanes in the far-IR, so these are the only spectral features capable of probing the bulk mass of ice near the disk midplane.

**(iv) Longevity/Durability:**

Water ice can be observed in light scattered from the disk atmosphere at  $3\mu\text{m}$  with current ground based facilities and future JWST and EELT instruments; however those features do not probe the bulk ice mass. SOFIA HIRMES, if approved, will be able to

observe the 43 $\mu$ m feature with sufficient sensitivity for a much smaller sample of bright, nearby protoplanetary disks. There is no other facility that can access the wavelength regime required for this science goal.

### 3. Figure:

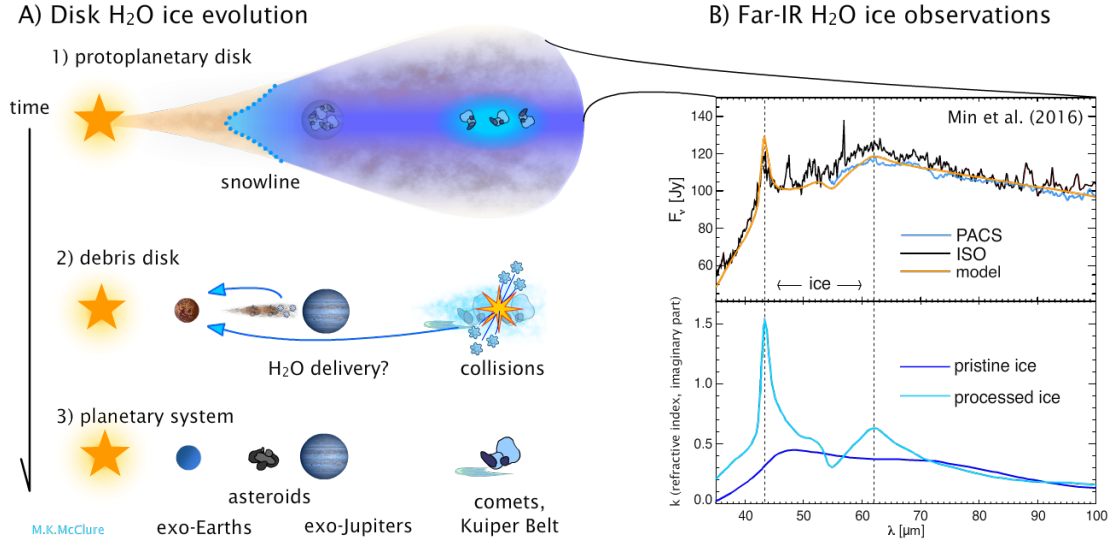


Figure 1, A: Schematic of ice evolution in disks, from pristine (ISM, dark blue) early on to processed (cyan) via planetesimal collisions, concurrent with formation of Jovian and terrestrial planets and delivery of water to the inner solar system. B: Example detection and fit of the 43 and 62 $\mu$ m features by Min et al. (2016).

### 4. Table:

Parameter	Unit	Required value	Desired Value	Comments
Wavelength/band	$\mu$ m	30-60 $\mu$ m	30-100 $\mu$ m	Larger wavelength range covers weaker 62 $\mu$ m feature.
Number of targets		~1000	>1000	
Angular resolution	"	0.5@43 $\mu$ m	0.25	Required value resolves typical wide binaries at 43 $\mu$ m for 150pc. Desired value resolves Kuiper Belt within 160pc.
Spectral resolution	$\lambda/\Delta\lambda$	250@43 $\mu$ m	-	
Bandwidth	$\mu$ m	20	30	
Continuum Sensitivity (1 $\sigma$ )	$\mu$ Jy	4	1	Calculations in appendix
Signal-to-noise		25	100	Calculations in appendix
Dynamic range		5e7	2e8	Calculations in appendix; driven by desire to observe a handful of bright nearby disks (200 Jy@60 $\mu$ m); higher spatial resolution or exclusion of these targets could reduce required range
Field of Regard		Galactic plane +/-20°		

**5. Key references:** Boogert et al. (2015, ARAA, 53, 541); Pontoppidan et al. (2014, PPVI, 362); van Dishoeck et al. (2014, PPVI, 835)

### Appendix: Sensitivity estimates:

**S/N:** If we require  $5\sigma$  detections of ice/rock ratios of  $1/10^{\text{th}}$  of the solar value ( $\sim 0.13$ ) in the lower end of the range of ‘typical’ M0 star disks ( $\epsilon=0.001$ , peak/continuum ratio = 1.2) at the mean distance of Orion (500pc), then we need  **$S/N=25$**  on the continuum. The ‘typical’ disk means one with dust settling in the range of  $\epsilon=0.001$ -0.01, based on observations of disks in Taurus by Furlan et al. (2006).

If we desire  $5\sigma$  detections of ice/rock ratios of  $1/10^{\text{th}}$  of the solar value ( $\sim 0.13$ ) in the most evolved M0 star disks ( $\epsilon=0.0001$ , peak/continuum ratio = 1.05) at the mean distance of Orion (500pc), then we want  **$S/N=100$**  on the continuum. We note that this S/N is better for extending the low- and high-mass stellar sample to 1kpc to reach our desired number of targets.

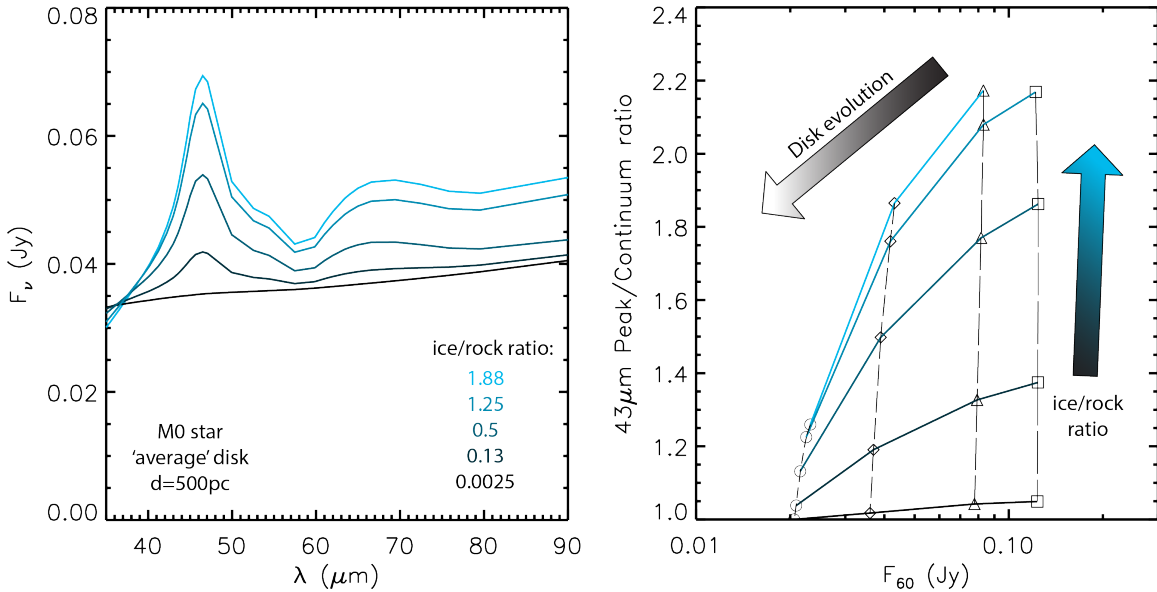


Figure 2: (left) Model spectra (D’Alessio et al. 2006, McClure et al. 2015) of far-IR processed (crystalline) ice features with varying ice/rock ratios, for a ‘typical’ disk around an M0 star at the distance of Orion. (right) Comparison of the peak/continuum ratio of the 43  $\mu\text{m}$  feature vs. 60  $\mu\text{m}$  continuum flux for the same disk with different degrees of dust settling to the disk midplane (a proxy for disk evolution). Settling is indicated by the vertical, dashed lines with  $\epsilon=0.0001, 0.001, 0.01, 0.1$  (from left to right).

**Continuum sensitivity and dynamic range:** At the distance of Orion (500pc, necessary for disk sample  $\sim 1000$ ), an M9 brown dwarf disk, depending on its dust distribution and temperature structure, is between 0.1mJy and 1mJy in continuum flux at 60  $\mu\text{m}$ . Combined with the S/N requirement, this sets the lower limit on our continuum sensitivity to  **$4\mu\text{Jy}$  required,  $1\mu\text{Jy}$  requested**. This allows detection of the most evolved disks around low-mass stars at the distance of 1kpc as well. Disks of nearby A stars set the upper limit for our range,  $\sim 200$  Jy, for a total dynamic range of  **$2 \times 10^8$** .

### Numbers:

From c2d (Evans et al. 2009), IRS\_Disks (Furlan et al. 2009), there are 789 protoplanetary disks in Cha I, Cha II, Lupus, Perseus, Serpens, Ophiuchus, and Taurus-Aurigae. This doesn’t count disks in the Orion association clouds, or the  $\sim 570+$  debris

disks observed by *Spitzer*'s InfraRed Spectrograph (Chen et al. 2014). Achieving a sample of **1000 disks** is very reasonable; in all likelihood **hundreds more** can be observed with the proposed specifications.